### WORKMAN NYDEGGER

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60 EAST SOUTH TEMPLE
SALT LAKE CITY, UTAH 84111
(801) 533-9800
FAX (801) 228-1707

#### **FACSIMILE COVER PAGE**

To:

Petition Branch

Fax No.:

703.308.6916

No. of Pages:

: 18

Sender:

DANA L. TANGREN

Subject: Our File No : see attached

Serial No. 10/003.971

FAX RECEIVED

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PETITIONS OFFICE

Date:

October 8, 2003

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PATENT APPLICATION
Docket No.: 14254.50

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:		) (
	Richard Donald Gunn et al.	}
Serial No:	10/003,971	) ) Art Unit
Confirmation No.:	6628	) 2878
Filed:	November 2, 2001	)
For:	APPARATUS AND METHODS FOR MONITORING EMISSIONS	FAX RECEIVED OCT 0 8 2003
Examiner:	Timothy J. Moran	) PETITIONS OFFICE

### CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that the following documents are being facsimile transmitted to the United States Patent and Trademark Office at facsimile no. 703.308.6916, on the 8th day of October 2003.

- Pctition Under 37 CFR § 1.182 for Consideration of Previously Submitted IDS Reference (3 pages)
- . Form PTO-2038 submitting Credit Card Payment in the amount of \$130 for petition
- Copy of previously filed Form PTO-1449 (4 pages)
- Copy of Abstract of Japanese Patent No. JP 52-137875
- Copy of returned postcard (1 page)
- Form PTO-1449 listing 1 reference with a copy of that reference (2 pages)

Respectfully submitted,

DANA L. TANGREN

Attorney for Applicant
Registration No. 37,246

022913

PATENT TRADEMARK OFFICE CUSTOMER NUMBER

PTO-2038 (02-2000)

Approved for use through 01/31/2000. OMB 0651-0043

United States Patent and Tradomark Office; U.S. DEPARTMENT OF COMMERCE
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OCT 0 8 2003

# United Stat s Patent & Trademark Office

Credit Card Payment Form

DETITIONS OFFICE

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Credit Card Type:	Visa	MasterCard	American Expres	s Discover
Credit Card Account #: 3728 975859 72002				
Credit Card Expiration D	ato:	08/04		
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Payment Amount: \$(US	Pollars):	\$130.00		
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Application No. 10/003,971	Applic	ation No.	Serial No.	IDON Gustomer No.
Patent No.	Palent	No.	Registration No.	
Altorney Docket No.			Identify or Describe Mark	

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PATENT APPLICATION Docket No.: 14254.50

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Filed:	November 2, 2001	)	
Confirmation No.:	6628	Ś	
For:	APPARATUS AND METHODS FOR MONITORING EMISSIONS	) ) ) )	RECEIVED
Examiner:	Timothy J. Moran	1	T 0 8 2000
	ON UNDER 37 CFR § 1.182 FOR CONSIDERATION	N PETI	TIONS OFFICE

Commissioner for Patents PO Box 1450 Arlington, Virginia 22313-1450

Dear Sir:

On February 12, 2002, applicant filed an Information Disclosure Statement (hereinaster "IDS") in the above-identified patent application. Accompanying the IDS was a Form PTO-1449 specifically identifying forty two references. Attached as Exhibit A is a copy of the Form PTO-1449 as filed. Listed as reference number 42 on the Form PTO-1449 under the heading "Other Documents" is "Abstract of Japanese Patent No. JP 52-137875, published August 8, 1984. (Hereinaster refereed to as "the '875 Japanese abstract"). A copy of the '875 Japanese abstract as filed is attached hereto as Exhibit B.

OF PREVIOUSLY SUBMITTED IDS REFERENCE

Also filed with the IDS was a copy of each of the forty two references identified on the Form PTO-1449. In support of applicant's position that all forty two references, including the '875 Japanese abstract, were filed with the IDS, attached hereto as Exhibit C is a return postcard that was submitted with the IDS. The postcard states that the items submitted included "Form PTO-1449 listing 42 references with a copy of each reference." The postcard is stamped received by the United States Patent and Trademark Office on February 26, 2002 supporting that all forty two references were received by the United States Patent and Trademark Office.

On September 22, 2003 a Notice of Allowability was issued from the United States Patent and Trademark Office for the above-identified patent application. Accompanying the Notice was a copy of the Form PTO-1449 acknowledging receipt and consideration of all of the forty two references except the '875 Japanese abstract. The Notice of Allowability stated the following:

The Information Disclosure Statement filed February 26, 2002 fails to comply the 37 CFR § 1.98(a)(2), which requires a legible copy of each US and foreign patent; each publication for that portion which caused it to be listed; and all other information or that portion which caused it to be listed. Specifically, no copy of Japanese Patent No. JP-137875 has been filed. It has been placed in the application filed, but the information referred to therein has not been considered.

Initially, applicant notes that it did not assert that it had submitted a copy of Japanese Patent No JP-137875 but ruther that it had submitted a copy of the abstract of Japanese Patent No. JP 59-137875. Furthermore, applicant asserts that it did in fact submit a copy of the '875 Japanese abstract as supported by the returned postcard referenced above. As such, the abstract was either missed by the examiner or lost by the United States Patent and Trademark Office after submission.

In view of the forgoing, applicant respectfully positions that the Examiner consider and acknowledge the '875 Japanese abstract (attached as Exhibit D) in the present application such OCT-08-21 FRI 03:13 PM WORKMAN NYDEGGER

FAX NO. 18013281707

P. 06

that the '875 Japanese abstract will be identified on the front of the corresponding issued patent.

As all of the other references have been considered and acknowledged by the examiner, also attached as Exhibit D is a new Form PTO-1449 solely listing the '875 Japanese abstract.

Applicant requests that a copy of the Form initialed by the Examiner be returned to the applicant

after consideration of the reference by the Examiner.

Finally, to facilitate consideration of this petition, also enclosed please find a Form PTO-2038 submitting the petition fee of \$130. The Office is hereby authorized to apply any overpayment or deduct any additional fee from Deposit Account No. 23-3178.

Dated this 8th day of October 2003.

Respectfully submitted,

DANA L. TANGREN
Attorney for Applicant
Registration No. 37,246

022913

PATENT TRADEMARK OFFIC CUSTOMER NUMBER

DI.T:dfw

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# **EXHIBIT A**

F mn PTO-1449 Applicant:

Richard Donald Gunn et al.

Sheet 1 of 4 Confirmati n No.: 6628

Serial No .: Filing Date: 10/003,971

Att'y Docket No.: 14254.50 Group: 2878

For:

November 2, 2001 IMPROVEMENTS IN AND RELATING TO APPARATUS AND METHODS FOR

MONITORING EMISSIONS

## INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

### U.S. Patent Documents

TRADEMAN	7,5.	atom Doubling in	
Examiner Initial*	Document Number	Issue Date	Name
T.M. 1	4,264,816	04/28/1981	Walenta
T.M. 2	4,267,446	05/02/1981	Brown et al.
LM3	4,426,580	01/17/1984	Smith
T.M. 4	4,740,730	04/26/1988	Uda et al.
TM.s	4,788,430	11/29/1988	Gonthier
T.M.6	4,814,608	03/21/1989	Dempsey et al.
T.M. 7	4,853,536	08/01/1989	Dempsey et al.
T.M. 8	4,859,854	08/22/1989	recisimiener av.
TM.9	4,970,391	11/13/1990	Uber, IIF
T.M. 10	4,992,658	02/12/1991	Ramsey, Jr. et al.
TM. 11	5,008,540	04/16/1991	Dempsey
T.14 12	5,053,624	10/01/1991	Kronenberg
T.M. 13	5,055,674	10/08/1991	Kotrappa
T.M.14	5,059,803	10/22/1991	Kronenberg
<u>r.M</u> .15	5,107,108	04/21/1992	Ramsey et al.
T.M.16	5,126,567	06/30/1992	Dempsey et al.

Examiner: Date Considered:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in a nformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy f this form with next communication to applicant.

5,877,502

Form PTO-1449 Applicant: Serial No .:

Filing Date:

For:

Richard Donald Gunn et al. 10/003,971

MONITORING EMISSIONS

FEB 2 6 70072 November 2, 2001 IMPROVEMENTS IN AND RELATING TO APPARATUS AND METHODS FOR

Shect 2 of 4 Confirmation No.: 6628 Att'y Docket No .: 14254.50 Group: 2878

Koster et al.

Examiner Document Isme Initial\* Number Date Name T.M. 17 5.128,540 07/07/1992 Stieff 5,184,019 02/02/1993 MacArthur et al. T.M.19 5,187,370 02/16/1993 MacArthur et al. T.M.20 5,194,737 03/16/1993 MacArthur et al. T.M.21 5,281,824 01/25/1994 MacArthur et al. T.M.22 5,311,025 05/10/1994 MacArthur et al. 5.371.363 12/06/1994 Lilimpakis 5,426,305 06/20/1995 Siebentritt, Jr. et al. 5,514,872 05/07/1996 Bolton et al. 5,525,804 06/11/1996 5.539.208 07/23/1996 T M. 28 5,550,381 08/27/1996 Bolton al. 5.663.567 09/02/1997 Steadman et al. 5,679,958 10/21/1997 MacArthur

Examiner: 1	1	Date Considered: Sept. 7.2002	Ī
- making	mon	sept. 1,2005	_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant,

03/02/1999

Form PTO-1449 Applicant: Serial No.: Filing Date:

For:

Richard Donald Gunn et al. 10/003,971

10/003,971

An'y Docket No.: 14254

November 2, 2001

MPROVEMENTS IN AND RELATING TO APPARATUS AND METHODS FOR MONITORING EMISSIONS

Sheet 3 of 4 Confirmation No.: 6628 Att'y Docket No.: 14254.50 Group: 2878

## Foreign Patent Documents

Examiner Initial*	Document Number	Publication Date	Country or Patent Office	Translation
TM-32	857005	12/21/1960	Great Britain	N/A
T.M. 33	1,090,745	11/15/1967	Great Britain	N/A
TM-34	2 202 369 A	09/21/1988	Great Britain	N/A
T.M. 35	2 301 222 A	11/27/1996	Great Britain	N/A
T.M. 36	2 337 110 A	11/10/1999	Great Britain	N/A
T.M. 37	2 337 153 A	11/10/1999	Great Britain	N/A
TM.38	2 337 156 A	11/10/1999	Great Britain	N/A
T.M.39	2 338 060 A	12/808/1999	Great Britain	₹ N/A
T.M. 40	WO 97/45754	12/04/1997	PCT	PRO
T.M41	WO 98/38531	09/03/1998	PCT	EINED -1, 2007
		Other Documents		D Room

Other Documents
(including author, title, pertinent pages, etc.)

Examiner Initial\*

\_\_\_\_\_42 Abstract of Japanese Patent No. JP 59-137875, published August 8, 1984.

Examiner: Date Considered:

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"EXAMINER: Initial If reference considered, whether or not citation is in conformance with MPEP 603 draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# **EXHIBIT B**

BALAID CONVERSION CIRCUIT FOR SCINTILLATION CAMERA

(11) 39-137674 (A)

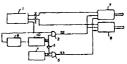
(43) 8.8.1984 (19) JP

(21) Appl. No. 58-10625 (22) 27.1.1983 (71) HITACH MEDEIKO K.K. (72) MASAAKI OOCHI

(61) Int. Cl2. G0171/164, A61B6/00, G01T1/17

PURPOSE: To achieve a high performance digital conversion by including a counting rate neter for measuring counting rate of incident y ray and a control circuit for controlling the number of digitized bits according to the counting

(ANSTITUTION: Data counts per unit time namely, counting rate of incident y rays is measured with a counting rate meter Q by a 2 signal Z outputted from a position calculating circuit 1. A gate control circuit 1 receives signal from the counting rate meter 9 and sets a prohibition time to that an A/D conversion clock s, will be outputted from the second AND gates by the same number as the number of digitized bits predetermined according to the counting rate obtained to output a conversion prohibiting signal s.



), clock generation circuit. It first  $\Lambda$  D converter,  $\lambda$  is cond  $\Lambda$  D converter.

(54) MEASUREMENT OF SURFACE POLLUTION DENSITY FOR NUCLEAR FUEL ELEMENT

(11) 59-137875 (A)

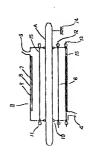
(43) 8.8.1984 (19) JP (21) Appl. No. 58-12011 (22) 27.1.1983

(71) GENSHI NENRIYOU KOGYO K.K. (72) HISAO KUMATOU(2)

(51) Int. Cl2. G01T1/169,G21C17/06

PURPOSE: To elevate the detection efficiency and the detection limit by fetching and measuring a signal of a radiation released from a surface pollutant of a nuclear fuel element from between the element and a core wire wound therearound

CONSTITUTION: A nuclear fuel element A is introduced into a surface pollution detector section B and a counting gas flows to a gas outlet 5 from a gas inlet 4 to replace the inside of the detector section B. A voltage is applied to a tungsten core wire 6 and a copper outer cylinder 7 via a steel wire section 15 and conductors 12 and 13 and counting is started with the nuclear fuel element A grounded 14. The tungsten core wire 6 and the copper outer cylinder 7 act as cathode as against the nuclear fuel element A grounded. As a result, a ray from a fuel meaterlai attached to the surface of the nuclear element A flies out to the cathode, secondary particles gathered with the tungsten core wire 6 and the nuclear fuel element A and after the amplification and shaping of a waveform, counts the value to be measured.



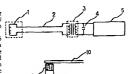
MAI SMALL TYPE PROXIMITY SENSOR

(11) 59-137877 (A) (43) 8.8.1984 (19) JP

(21) ADJU NO. 58-12393 (22) 273.1393 (71) SENSA GIJUTSU KENKYUSHO K.K. (72) MASAKI NAKASUGA (51) Int. CP. DELY3/0,G01D6/18,I101H36/00,I103K17/95

PURPOSE: To reduce the diameter of a detection head to less than 3nn by causing an oscillation with a transformer type oscillation circuit having a coil buried direct into a metal pipe in a detection head separating high frequency oscillation type proximity sensor.

CONSTITUTION: An oscillation output generated from an oscillation circuit 5 having a primary side of a transformer type-coil 3 and a resonance circuit in a naving a primary size of a transformer type cold in size a resonance condenser A is fed to a detection cold, through a cable 2 from the secondary side of the transformer type coll 3. An Edgy current loss in a metal detection body approaching the detection coll is detected depending on a high frequency magnetic field generated with the detection coll—as change in the oscillation amplitude. A detection head section is made up of a coll 9 buried direct into a metal pipe 10 thereby enabling reduction in the diameter.



# **EXHIBIT C**

TO THE TIED STATES PATENT AND TRADEMARK OFFICE, PLEASE STAMP AND RETURN. THANK YOU

Transmittal letter for Information SUBMITTED: Disclosure Statement (3 pages); Information Disclosure

Statement (2 pages); Form PTO-1449 listing 42 PE references with a copy of each reference; Certificate of Deposit

Applicant:

Richard Donald Gunn et al.

Title:

IMPROVEMENTS IN AND RELATING

TO APPARATUS AND METHODS FOR MONITORING EMISSIONS

10/003,971

Serial No.: Filing Date: November 2, 2001 Docket No.: 14254.50

Mailed: February 12, 2002

# **EXHIBIT D**

FAX NO. 18013281707

P. 16

Form PTO-1449

Richard Donald Gunn et al.

Sheet 1 of 2 Confirmation No.: 6628 Att'v Docket No.: 14254.50

Applicant: Serial No.: Filing Date: For:

10/003.971 November 2, 2001

Group: 2878

IMPROVEMENTS IN AND RELATING TO APPARATUS AND METHODS FOR MONITORING EMISSIONS

### INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

### U.S. Patent Documents

Examiner Initial\*

Document Number Issue Date

Name

### Foreign Patent Documents

Examiner Initial\*

Document Number Publication Date

Country or Patent Office

Translation

Other Documents (including author, title, pertinent pages, etc.)

Examiner Initial\*

1

Abstract of Japanese Patent No. JP 59-137875, published August 8, 1984.

Examiner:

Date Considered:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Group: 2878

Confirmation No.: 6628

Att'v Docket No.: 14254.50

Form PTO-1449 \*

Applicant:

Serial No .:

Filing Date:

For:

Richard Donald Gunn et al.

10/003,971

November 2, 2001

IMPROVEMENTS IN AND RELATING TO APPARATUS AND METHODS FOR

MONITORING EMISSIONS

### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. § 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPHP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

W:\14254\S0\DFW0000008278V001.doc

Examiner: Date Considered:

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

### ISN A/D CONVERSION CIRCUIT FOR SCINTILLATION CAMERA

(11) 59-137874 (A) (43) 8.8.1984 (19) JP

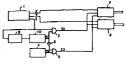
(22) 27.1.1983

(21) Appl. No. 58-10625 (22) 27.1.1983 (71) HITACH MEDEIKO K.K. (72) MASAAKI OOCHI

(51) Int. Cl'. G0174/164,A61B6/00,G01T1/17

PURPOSE: To achieve a high performance digital conversion by including a counting rate meter for measuring counting rate of incident y ray and a control circuit for controlling the number of digitated bits according to the counting

CONSTITUTION: Data counts per unit time namely, counting rate of incident y rays is measured with a counting rate meter by a Z signal Z outputted from a position calculating circuit. 1. A gate control circuit 10 receives signal from the counting rate meter 9 and sets a prohibition time to that an A/D conversion clock s, will be outputted from the second AND gates by the same number as the number of digitized bits predetermined according to the counting rate obtained to output a conversion prohibiting signal s.



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#### (54) MEASUREMENT OF SURFACE POLLUTION DENSITY FOR NUCLEAR FUEL ELEMENT

(11), 59-137875 (A)

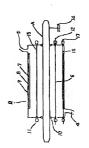
(43) 8.8.1984 (19) IP (22) 27,1.1983

(21) Appl. No. 58-12011 (71) GENSHI NENRIYOU KOGYO K.K. (72) HISAO KUMATOU(2)

(51) Int. Cl. G01T1/169,G21C17/06

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#### (4) SMALL TYPE PROXIMITY SENSOR

(11) 59-137877 (A) (43) 8.8.1984 (19) JP

(21) APA No. 38-12393 (22) 27.1.1983 (71) SENS A GIJUTSU KENKYUSHO K.K. (72) MAS (51) Int. CP. GOLV3/10,G01D5/18,H01H36/00,H03K17/95 (72) MASAKI NAKASUGA

PURPOSE: To reduce the diameter of a detection head to less than 3mm by causing an oscillation with a transformer type oscillation circuit having a coil buried direct into a metal pipe in a detection head separating high frequency oscillation type proximity sensor,

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